

## Fees and Commissions

valid from January 1<sup>st</sup>, 2016

### Securities Trading Brokerage

#### Equities

Swiss exchange and net transactions for outside Switzerland

#### Market value

up to CHF 50'000	1,00 %
CHF 50'001 to CHF 100'000	0,85 %
CHF 100'001 to CHF 500'000	0,75 %
above CHF 500'000	0,50 %

Minimum CHF 50 per settlement note (rights CHF 20)

#### German exchanges „XETRA“

#### Market value

up to CHF 100'000	1,20 %
CHF 100'001 to CHF 500'000	0,90 %
above CHF 500'000	0,60 %

Minimum CHF 50 per settlement note (rights CHF 20)

#### Foreign exchanges

#### Market value

up to CHF 50'000	1,90 %
CHF 50'001 to CHF 100'000	1,70 %
CHF 100'001 to CHF 250'000	1,50 %
above CHF 250'000	1,00 %

+ foreign fees

Minimum CHF 50 per settlement note (rights CHF 20)

### Bonds

Swiss exchanges and net transactions outside Switzerland

#### Market value + interest

up to CHF 50'000	0,80 %
up to CHF 100'000	0,70 %
up to CHF 250'000	0,60 %
up to CHF 500'000	0,50 %
above CHF 500'000	0,40 %

Minimum CHF 50 per settlement note

#### Foreign exchanges

#### Market value + interest

up to CHF 50'000	1,00 %
up to CHF 100'000	0,90 %
up to CHF 250'000	0,80 %
up to CHF 500'000	0,70 %
above CHF 500'000	0,60 %

+ foreign fees

Minimum CHF 50 per settlement note

### Mutual Funds

#### Market value

up to CHF 100'000	1,20 %
CHF 100'001 to CHF 500'000	0,90 %
above CHF 500'000	0,60 %

Minimum CHF 50 per settlement note

### Money Market Funds

Market value 0,30%

Minimum CHF 50 per settlement note

#### Options

Commissions for options is composed as follows:

Broker's commission + 50 % our brokerage

#### Commissions for Fiduciary Deposits

##### Fiduciary Call

Minimum deposit	CHF 250'000 *)
Minimum amount for changes	CHF 50'000 *)

Commission for repayments or interest	0,50 % p.a. pro rata
Minimum	CHF 200 *)

\*) or same value in other currencies

##### Fiduciary Time deposits

Values	Commission (pro rata)
up to CHF 500'000	0,500 % p.a.
CHF 500'001 to 1'000'000	0,375 % p.a.
CHF 1'000'001 to 10'000'000	0,250 % p.a.
above CHF 10'000'000	0,125 % p.a.

Minimum CHF 200 or same value in other currencies

\*) Minimum deposit CHF 100'000 or same value in other currencies

### Safe Custody Administration Fee

charged in four instalments in March, June, September and December

Safe custody and administration 0,3 % p.a.

+ foreign charges

Minimum CHF 100 p.a.

This charge covers the annual costs of securities administration, collection of interest and dividends, as well as investment advice.

Precious Metal Account 0,3 % p.a.

### Asset Management Asset Management Fee

charged in four instalments in March, June, September and December

#### Asset management contract with the bank

0,5 % - 1,0 % p.a. according to investment category and size of safe custody account

Minimum CHF 1'000 p.a.

### Account maintenance

Numbered Account charged quarterly	p.a.	CHF 300
Withhold mail charged quarterly	p.a.	CHF 200
Mail service within LI & CH	per advice	CHF1.20
Mail service abroad	per advice	CHF2.20
Closing entries	min. per quarter	CHF 20
Statements of assets Apart from March, June, September, December	monthly	CHF 20
Additionally produced duplicates of documents	per duplicate	acc. to expenditure
Tax statements		acc. ex.
Income statements		acc. ex.
Liquidation		acc. ex.

### Payment transactions

Domestic payments in CHF incl. standing orders

Each order CHF 3

Direct debit (LSV) no charge

Payments in other currencies and countries incl. standing orders

Each order CHF 25

Internal payments free of charges

### Cheques

Purchase of a third bank cheque CHF 75

Cheque collection CHF 20

*Third-party costs will be passed on in full to the client.*

### Cash desk

Physical delivery of bank notes	upon request
Cash payments in foreign currencies	upon request

### Delivery and transfer of securities (per transaction)

Depository	Delivery at the securities counter	Shipment & insurance (up to CHF 200'000)	Transfer
	CHF	CHF	CHF
Switzerland /Sega	50	100	50
Europe	100	150	100
Overseas	120	220	150

Depository costs, including stamp-duty and charges as well as extraordinary fees due to volume and weight, will be added.

For clients domiciled in FL/CH 8 % VAT added.